

Harmonic oscillator:  $V(x) = \frac{1}{2} m \omega^2 x^2$   
 $= \frac{1}{2} x^2$

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Let  $m = \omega = 1 = \hbar$

We know the TISE:  $\hat{H}|\psi\rangle = E|\psi\rangle$

$$\left(\frac{\hat{p}^2}{2} + V\right)|\psi\rangle = E|\psi\rangle$$

$$\left(\frac{1}{i} \frac{d}{dx}\right)^2 |\psi\rangle + V|\psi\rangle = E|\psi\rangle$$

$$\rightarrow -\frac{d^2|\psi\rangle}{dx^2} + V|\psi\rangle = E|\psi\rangle$$

[TISE for H.O.]

So our hamiltonian in the smallest form is:  $H = \frac{1}{2} [\hat{p}^2 + \hat{x}^2]$

Arbitrary step 1: Define  $\hat{a}^+$  &  $\hat{a}$ . (PART I)  $\rightarrow$  Buildup.

$$\hat{a} = \frac{1}{\sqrt{2}} (\hat{x} + i\hat{p}) \quad \& \quad \hat{a}^+ = \frac{1}{\sqrt{2}} (\hat{x} - i\hat{p})$$

Arbitrary step 2: Calculate  $\hat{a}\hat{a}^+$ .

$$\begin{aligned} \hat{a}\hat{a}^+ &= \frac{1}{\sqrt{2}} \cdot \frac{1}{\sqrt{2}} (\hat{x} + i\hat{p})(\hat{x} - i\hat{p}) = \frac{1}{2} (\hat{x}^2 - i\hat{x}\hat{p} + i\hat{p}\hat{x} - \hat{p}^2) \\ &= \frac{1}{2} (\hat{x}^2 + \hat{p}^2 - i(\hat{x}\hat{p} - \hat{p}\hat{x})) = \frac{1}{2} (\hat{x}^2 + \hat{p}^2 - i[\hat{x}, \hat{p}]) \end{aligned}$$

What is  $[\hat{x}, \hat{p}]$ ? (Use a test function as in  $L^2(\mathbb{R}) \rightarrow \infty \text{ dim } \mathcal{H}$ )

$$\begin{aligned} \rightarrow [\hat{x}, \hat{p}]|\psi_{\text{test}}\rangle &= \hat{x}\hat{p}|\psi_{\text{test}}\rangle - \hat{p}\hat{x}|\psi_{\text{test}}\rangle = \hat{x} \frac{1}{i} \frac{d|\psi_{\text{test}}\rangle}{dx} - \hat{p} x |\psi_{\text{test}}\rangle \\ &= \frac{1}{i} x \frac{d|\psi_{\text{test}}\rangle}{dx} - \frac{1}{i} \frac{d}{dx} (x |\psi_{\text{test}}\rangle) = \frac{x}{i} \frac{d|\psi_{\text{test}}\rangle}{dx} - \frac{x}{i} \frac{d|\psi_{\text{test}}\rangle}{dx} - \frac{1}{i} |\psi_{\text{test}}\rangle \\ &= -\frac{1}{i} |\psi_{\text{test}}\rangle = i |\psi_{\text{test}}\rangle \quad \therefore [\hat{x}, \hat{p}] = i\hbar \rightarrow \hbar=1 \text{ in this calc.} \end{aligned}$$

$$\hat{a}\hat{a}^\dagger = \frac{1}{2}(\hat{x}^2 + \hat{p}^2 + \hat{I}) \leftarrow$$

Careful observation:

$$\hat{H} = \frac{1}{2}(\hat{x}^2 + \hat{p}^2) \quad \& \quad \hat{a}\hat{a}^\dagger = \frac{1}{2}(\hat{x}^2 + \hat{p}^2 + \hat{I})$$

$$\therefore \boxed{\hat{H} = (\hat{a}\hat{a}^\dagger - \frac{\hat{I}}{2})}$$

Bookkeeping calculation: (if needed)  $[\hat{a}, \hat{a}^\dagger] = \hat{I} \Rightarrow [\hat{a}^\dagger, \hat{a}] = -\hat{I}$

$$\boxed{\hat{H} = (\hat{a}^\dagger\hat{a} + \frac{\hat{I}}{2})}$$

Bookkeeping calculation(2): TISE in terms of  $\hat{a}$  &  $\hat{a}^\dagger$ .

$$\hat{H}|\psi\rangle = E|\psi\rangle$$

$$(\hat{a}\hat{a}^\dagger - \frac{\hat{I}}{2})|\psi\rangle = (\hat{a}^\dagger\hat{a} + \frac{\hat{I}}{2})|\psi\rangle = E|\psi\rangle$$

Technique, manipulate the LHS of the blue equation such that  $\hat{H}$  act on  $|\psi\rangle$  first as we know  $\hat{H}|\psi\rangle = E|\psi\rangle$

⊕ Proving 2 IMP Properties of  $\hat{a}, \hat{a}^\dagger$ :

i) Claim  $|\psi\rangle$  satisfies  $\hat{H}|\psi\rangle = E|\psi\rangle$ , then prove  $(\hat{a}^\dagger|\psi\rangle)$  satisfies the S.E with energy  $(E+1)$   $\{(E+\hbar\omega)\} \rightarrow -1$

$$\text{i.e. } \hat{H}(\hat{a}^\dagger|\psi\rangle) = (E+1)\hat{a}^\dagger|\psi\rangle$$

→ Proof:

$$\begin{aligned} \hat{H}(\hat{a}^\dagger|\psi\rangle) &= (\hat{a}^\dagger\hat{a} + \frac{\hat{I}}{2})(\hat{a}^\dagger|\psi\rangle) = (\hat{a}^\dagger\hat{a}\hat{a}^\dagger + \frac{\hat{I}}{2}\hat{a}^\dagger)|\psi\rangle \\ &= \hat{a}^\dagger(\hat{a}\hat{a}^\dagger + \frac{\hat{I}}{2})|\psi\rangle = \hat{a}^\dagger(\hat{a}^\dagger\hat{a} + \hat{I} + \frac{\hat{I}}{2})|\psi\rangle \end{aligned}$$

We know  $[\hat{a}, \hat{a}^\dagger] = \hat{I} = \hat{a}\hat{a}^\dagger - \hat{a}^\dagger\hat{a}$ ,  $\therefore$  this step  $\rightarrow \hat{a}\hat{a}^\dagger = \hat{a}^\dagger\hat{a} + \hat{I}$

Take this  $\hat{a}^\dagger$  out common. as  $\hat{I}\hat{a}^\dagger = \hat{a}^\dagger\hat{I}$

$$\begin{aligned}
&= \hat{a}^\dagger (\hat{H} + \hat{I}) |\psi\rangle = \hat{a}^\dagger (\hat{H}|\psi\rangle + \hat{I}|\psi\rangle) \\
&= \hat{a}^\dagger (E+1) |\psi\rangle = (E+1) \hat{a}^\dagger |\psi\rangle
\end{aligned}$$

$$\therefore \hat{H}(\hat{a}^\dagger |\psi\rangle) = (E+1)(\hat{a}^\dagger |\psi\rangle)$$

ii) Claim  $|\psi\rangle$  satisfies  $\hat{H}|\psi\rangle = E|\psi\rangle$  and then prove  $\hat{a}|\psi\rangle$  satisfies the S.F. with energy  $(E-1)$ . i.e.

$$\hat{H}(\hat{a}|\psi\rangle) = (E-1)(\hat{a}|\psi\rangle)$$

$$\begin{aligned}
\text{LHS: } \hat{H}(\hat{a}|\psi\rangle) &= (\hat{a}\hat{a}^\dagger - \frac{\hat{I}}{2})(\hat{a}|\psi\rangle) = (\hat{a}\hat{a}^\dagger\hat{a} - \frac{\hat{I}}{2}\hat{a})|\psi\rangle \\
&= (\hat{a}\hat{a}^\dagger\hat{a} - \frac{\hat{I}}{2}\hat{a})|\psi\rangle = \hat{a}(\hat{a}^\dagger\hat{a} - \frac{\hat{I}}{2})|\psi\rangle = \hat{a}(\hat{a}\hat{a}^\dagger - \hat{I} - \frac{\hat{I}}{2})|\psi\rangle \\
&= \hat{a}(\hat{H} - \hat{I})|\psi\rangle = \hat{a}(\hat{H}|\psi\rangle - \hat{I}|\psi\rangle) = \hat{a}(E-1)|\psi\rangle \\
&= (E-1)(\hat{a}|\psi\rangle)
\end{aligned}$$

$$\therefore \hat{H}(\hat{a}|\psi\rangle) = (E-1)(\hat{a}|\psi\rangle)$$

→ ∴ We now see where they get their names as 'raising' and 'lowering' operator.

## PART II: DERIVING NORMALIZED Eigenstates $|\psi_n\rangle$

→ Start with the boundary condition:

Let  $|\psi_0\rangle$  be the lowest state, then define  $\hat{a}|\psi_0\rangle = 0$

→ We can find  $|\psi_0\rangle$  (The ground state by plugging in the definition of  $\hat{a}$ )

$$\text{LHS: } \hat{a}|\psi\rangle = \frac{1}{\sqrt{2}} (\hat{x} + i\hat{p})|\psi\rangle = \frac{1}{\sqrt{2}} (x|\psi\rangle + \frac{i}{i} \frac{d|\psi\rangle}{dx}) = \text{RHS} = 0.$$

$$\therefore \text{the O.D.E is } x|\psi_0\rangle + \frac{d|\psi_0\rangle}{dx} = 0 \Rightarrow x|\psi_0\rangle = -\frac{d|\psi_0\rangle}{dx}$$

$$\Rightarrow -x dx = \frac{d|\psi_0\rangle}{|\psi_0\rangle} \Rightarrow \int -\frac{x^2}{2} + C = \ln |\psi_0\rangle$$

Exponentiating

$$\Rightarrow |\psi_0\rangle = e^{-\frac{x^2}{2} + C} = e^{-\frac{x^2}{2}} e^C = A e^{-\frac{x^2}{2}}$$

→ Normalize this:  $\|\psi_0\|^2 = 1$

$$\Rightarrow \langle \psi_0 | \psi_0 \rangle = 1 \Rightarrow \int A e^{-\frac{x^2}{2}} A e^{-\frac{x^2}{2}} dx$$

$$= A^2 \int e^{-x^2} dx = A^2 \sqrt{\pi}$$

$$\therefore A^2 = \frac{1}{\sqrt{\pi}} \Rightarrow A = \frac{1}{\sqrt[4]{\pi}} \quad \therefore |\psi_0\rangle = \frac{1}{\sqrt[4]{\pi}} e^{-\frac{x^2}{2}}$$

Now let's find the ground state energy: (Using the fact  $\hat{a}|\psi_0\rangle = 0$ )

$$\hat{H}|\psi_0\rangle = \left(\hat{a}^\dagger \hat{a} + \frac{\hat{1}}{2}\right)|\psi_0\rangle = \left(\hat{a}^\dagger \hat{a}|\psi_0\rangle + \frac{\hat{1}}{2}|\psi_0\rangle\right) = \frac{\hat{1}}{2}|\psi_0\rangle = \frac{1}{2}|\psi_0\rangle$$

$$\therefore \text{If we think of it as } \hat{H}|\psi_0\rangle = E_0|\psi_0\rangle \Rightarrow \underline{E_0 = \frac{1}{2}}$$

Now we have our foot placed on the ground state!

Using  $\textcircled{\#}$  from part 1 we can deduce that:

We can apply raising operators repeatedly to generate excited states, increasing the energy by 1 with every step.

$$\textcircled{\#} \quad |\psi_n\rangle = A_n (\hat{a}^\dagger)^n |\psi_0\rangle \quad ; \quad E_n = \left(n + \frac{1}{2}\right)$$

↓ Normalization constant.

↓ Starts with 0 for Harmonic oscillator.

→ Next step: Calculate  $A_n$  algebraically!

pro.

We know,  $\hat{a}^\dagger |\psi_n\rangle$  and  $\hat{a} |\psi_n\rangle$  is proportional to  $|\psi_{n+1}\rangle$  and  $|\psi_{n-1}\rangle$  respectively.

$$\therefore \hat{a}^\dagger |\psi_n\rangle = c_n |\psi_{n+1}\rangle \quad \& \quad \hat{a} |\psi_n\rangle = d_n |\psi_{n-1}\rangle \quad \textcircled{\#}^{11}$$

Show that  $\hat{a}^\dagger$  and  $\hat{a}$  are hermitian conjugates: i.e.  $\psi, \phi \rightarrow 0 \text{ as } x \rightarrow \pm\infty$   $\textcircled{\#}$

Prove that  $\langle \psi | \hat{a}^\dagger \phi \rangle = \langle \hat{a} \psi | \phi \rangle$  where  $\psi, \phi \in L^2(\mathbb{R})$

Let's prove from LHS to RHS and claim that RHS  $\rightarrow$  LHS is done similarly.

Proof:  $\langle \psi | \hat{a}^\dagger \phi \rangle = \int_{-\infty}^{\infty} \psi^* \hat{a}^\dagger \phi \, dx = \int_{-\infty}^{\infty} \psi^* \frac{1}{\sqrt{2}} (\hat{x} - i\hat{p}) \phi \, dx$   
Def<sup>n</sup> of inner product in  $L^2(\mathbb{R})$

$$= \frac{1}{\sqrt{2}} \int_{-\infty}^{\infty} \psi^* \left( \hat{x} - \frac{i}{x} \frac{d}{dx} \right) \phi \, dx = \frac{1}{\sqrt{2}} \int_{-\infty}^{\infty} \psi^* \left( x\phi - \frac{d\phi}{dx} \right) dx$$

$$= \frac{1}{\sqrt{2}} \int_{-\infty}^{\infty} \left( \psi^* x \phi - \psi^* \frac{d\phi}{dx} \right) dx = \frac{1}{\sqrt{2}} \left[ \int_{-\infty}^{\infty} \psi^* x \phi \, dx - \int_{-\infty}^{\infty} \psi^* \frac{d\phi}{dx} \, dx \right] \rightarrow \textcircled{\#}^1$$

$$\rightarrow \int_{-\infty}^{\infty} \psi^* \frac{d\phi}{dx} \, dx = \underbrace{\psi^* \phi}_{=0} \Big|_{-\infty}^{\infty} - \int_{-\infty}^{\infty} \left( \frac{d\psi}{dx} \right)^* \phi \, dx = - \int_{-\infty}^{\infty} \left( \frac{d\psi}{dx} \right)^* \phi \, dx$$

plug in.

Identity used for integration by parts:  $\int_a^b f \frac{dg}{dx} \, dx = fg \Big|_a^b - \int_a^b \frac{df}{dx} g \, dx$

After plugging in

$$\textcircled{\#}^1 = \frac{1}{\sqrt{2}} \left[ \int_{-\infty}^{\infty} \psi^* x \phi \, dx + \int_{-\infty}^{\infty} \left( \frac{d\psi}{dx} \right)^* \phi \, dx \right] = \frac{1}{\sqrt{2}} \left[ \int_{-\infty}^{\infty} x \psi^* \phi \, dx + \dots \right]$$

$$= \frac{1}{\sqrt{2}} \int_{-\infty}^{\infty} \left[ x \psi^* \phi + \left( \frac{d\psi}{dx} \right)^* \phi \right] dx = \frac{1}{\sqrt{2}} \int_{-\infty}^{\infty} (\hat{x} + i\hat{p}) \psi^* \phi \, dx$$

$$= \int_{-\infty}^{\infty} \hat{a} \psi^* \phi \, dx = \langle \hat{a} \psi | \phi \rangle$$

$$\therefore \langle \hat{a} \psi | \phi \rangle = \langle \psi | \hat{a}^\dagger \phi \rangle \text{ proved.}$$

